

# Mr. Timothy Webber Park Dental Care Inspection report

#### 4 St James's Terrace Nottingham NG1 6FW Tel: 01159101447 www.ukdentist.co.uk

Date of inspection visit: 4 October 2023 Date of publication: 08/11/2023

#### **Overall summary**

We carried out this announced comprehensive inspection on 4 October 2023 under section 60 of the Health and Social Care Act 2008 as part of our regulatory functions.

We planned the inspection to check whether the registered practice was meeting the legal requirements in the Health and Social Care Act 2008 and associated regulations.

The inspection was led by a Care Quality Commission (CQC) inspector who was supported by a specialist dental advisor.

To get to the heart of patients' experiences of care and treatment, we always ask the following 5 questions:

- Is it safe?
- Is it effective?
- Is it caring?
- Is it responsive to people's needs?
- Is it well-led?

These questions form the framework for the areas we look at during the inspection.

#### Our findings were:

- The dental clinic appeared clean and well-maintained.
- The practice infection control procedures, specifically relating to clinical waste bins and identification of waste bags did not always reflect published guidance.
- Staff knew how to deal with medical emergencies. Checks to confirm the availability of appropriate medicines and life-saving equipment were not carried out effectively.
- The practice had systems to manage risks for patients, staff, equipment and the premises. A fixed wire electrical safety test had not been completed within required the 5 year time scale.

## Summary of findings

- Safeguarding processes were in place and staff knew their responsibilities for safeguarding vulnerable adults and children.
- The practice had staff recruitment procedures which reflected current legislation. We found these were not always implemented consistently.
- Clinical staff provided patients' care and treatment in line with current guidelines.
- Patients were treated with dignity and respect. Staff took care to protect patients' privacy and personal information.
- Staff provided preventive care and supported patients to ensure better oral health.
- The appointment system worked efficiently to respond to patients' needs.
- The frequency of appointments was agreed between the dentist and the patient, giving due regard to National Institute of Health and Care Excellence (NICE) guidelines.
- Staff felt involved, supported and worked as a team.
- Staff and patients were asked for feedback about the services provided.
- Complaints were dealt with positively and efficiently.
- The practice had information governance arrangements.

#### Background

Park Dental Care is in Nottingham and provides private dental care and treatment for adults and children.

There is a small step to access to the practice, a portable ramp is available for people who use wheelchairs and those with pushchairs. Car parking spaces, including dedicated parking for disabled people, are available a short distance from the practice. The practice has made reasonable adjustments to support patients with access requirements.

The dental team includes 4 dentists, 4 dental nurses, 4 trainee dental nurses, 4 dental hygienists, 1 dental therapist, 1 clinical manager and 1 office manager. The practice has 5 treatment rooms.

During the inspection we spoke with 1 dentist, 3 dental nurses, the clinical manager and the office manager. We looked at practice policies, procedures and other records to assess how the service is managed.

The practice is open:

Monday and Tuesday from 9am to 7.30pm

Wednesday to Friday from 9am to 4.30pm

Alternate Saturdays from 9am to 4.30pm

There were areas where the provider could make improvements. They should:

- Improve the practice's waste handling protocols to ensure waste is segregated and disposed of in compliance with the relevant regulations, taking into account the guidance issued in the Health Technical Memorandum 07-01.
- Implement an effective system of checks of medical emergency equipment and medicines taking into account the guidelines issued by the Resuscitation Council (UK) and the General Dental Council.
- Improve the practice's recruitment policy and procedures to ensure accurate, complete and detailed records are maintained for all staff.
- 2 Park Dental Care Inspection report 08/11/2023

## Summary of findings

• Take action to ensure audits of anti-microbial prescribing are undertaken at regular intervals to improve the quality of the service. Practice should also ensure that, where appropriate, audits have documented learning points and the resulting improvements can be demonstrated.

# Summary of findings

#### The five questions we ask about services and what we found

We always ask the following five questions of services.

Are services safe?	No action 🖌
Are services effective?	No action 🖌
Are services caring?	No action 🖌
Are services responsive to people's needs?	No action 🖌
Are services well-led?	No action 🖌

## Are services safe?

### Our findings

We found this practice was providing safe care in accordance with the relevant regulations.

#### Safety systems and processes, including staff recruitment, equipment and premises and radiography (X-rays)

The practice had safeguarding processes and staff knew their responsibilities for safeguarding vulnerable adults and children. Staff had completed training to a level suitable for their role and safeguarding information was displayed in staff areas.

The practice infection control procedures did not always reflect published guidance. The provider used plastic boxes with lids as waste receptacles in all surgeries. This meant staff had to lift the lids by hand each time they wanted to add waste and then empty these boxes into a clinical waste bag. Guidance states waste bins should be able to be operated without touching by hand. Additionally, we found that clinical waste bags were not marked in a way to identify the service as their source.

The practice had robust and detailed procedures to reduce the risk of Legionella, or other bacteria, developing in water systems, in line with a risk assessment.

The practice appeared clean and there was an effective schedule in place to ensure it was kept clean.

The practice had a recruitment policy and procedure to help them employ suitable staff, including for agency or locum staff. We noted that although these reflected the relevant legislation the procedures were not applied consistently as references were not sought for all staff.

Clinical staff were qualified, registered with the General Dental Council and had professional indemnity cover.

The practice ensured equipment was safe to use, maintained and serviced according to manufacturers' instructions. We identified scope for improvement in how the practice ensured the facilities were maintained in accordance with regulations as a required, a satisfactory fixed wire electrical safety certificate had not been sought since 2008. Following our inspection, the provider submitted evidence that an assessment of the system was booked.

A fire safety risk assessment was carried out in line with the legal requirements. The management of fire safety was effective. We noted that not all staff had completed recent fire safety training. The provider informed us this would be booked.

The practice had arrangements to ensure the safety of the X-ray equipment and the required radiation protection information was available. This included cone-beam computed tomography (CBCT).

#### **Risks to patients**

The practice had implemented systems to assess, monitor and manage risks to patient and staff safety. This included sharps safety and lone working. We identified scope for improvement in staff awareness of and training in the signs and treatment of sepsis. The provider informed us additional training would be completed.

Emergency equipment and medicines were not always available and checked in accordance with national guidance. We found that checks of equipment were completed every two weeks rather than weekly and that some recommended equipment and medicines were missing or out of date. This included, Flumazenil a drug used to reverse the effects of oral sedation. We found that the portable suction, a self-inflating bag for adults, clear face masks and oxygen tubing were not stored in a sterile manner.

## Are services safe?

Staff knew how to respond to a medical emergency and had completed training in emergency resuscitation and basic life support every year. Immediate life support training was also completed by staff providing treatment to patients under sedation.

The practice had risk assessments to minimise the risk that could be caused from substances that are hazardous to health.

#### Information to deliver safe care and treatment

Patient care records were complete, legible, kept securely and complied with General Data Protection Regulation requirements.

The practice had systems for referring patients with suspected oral cancer under the national 2-week wait arrangements.

#### Safe and appropriate use of medicines

The practice had systems for appropriate and safe storage of medicines. We identified scope for improvement in the labelling of dispensed medicines and noted that antimicrobial prescribing audits were not carried out.

#### Track record on safety, and lessons learned and improvements

The practice had systems to review and investigate incidents and accidents. The practice had a system for receiving and acting on safety alerts.

### Are services effective?

(for example, treatment is effective)

### Our findings

We found this practice was providing effective care in accordance with the relevant regulations.

#### Effective needs assessment, care and treatment

The practice had systems to keep dental professionals up to date with current evidence-based practice.

The practice offered conscious sedation for patients. The practice's systems included checks before and after treatment, emergency equipment requirements, medicines management, sedation equipment checks, and staff availability and training. We identified scope for improvement in the detail of information given to patients following sedation treatment.

The orthodontist carried out a patient assessment in line with recognised guidance from the British Orthodontic Society.

We saw the provision of dental implants was in accordance with national guidance.

#### Helping patients to live healthier lives

The practice provided preventive care and supported patients to ensure better oral health.

#### **Consent to care and treatment**

Staff obtained patients' consent to care and treatment in line with legislation and guidance. They understood their responsibilities under the Mental Capacity Act 2005.

Staff described how they involved patients' relatives or carers when appropriate and made sure they had enough time to explain treatment options clearly.

#### Monitoring care and treatment

The practice kept detailed patient care records in line with recognised guidance.

Staff conveyed an understanding of supporting more vulnerable members of society such as patients living with dementia or adults and children with a learning disability.

We saw evidence the dentists justified, graded and reported on the radiographs they took. The practice carried out radiography audits 6-monthly following current guidance.

#### **Effective staffing**

Staff had the skills, knowledge and experience to carry out their roles.

Newly appointed staff had a structured induction and clinical staff completed continuing professional development required for their registration with the General Dental Council.

#### **Co-ordinating care and treatment**

Staff worked together and with other health and social care professionals to deliver effective care and treatment.

The dentists confirmed they referred patients to a range of specialists in primary and secondary care for treatment the practice did not provide.

The practice was a referral clinic for dental implants and endodontics, and we saw staff monitored and ensured the dentists were aware of all incoming referrals.

## Are services caring?

### Our findings

We found this practice was providing caring services in accordance with the relevant regulations.

#### Kindness, respect and compassion

Staff were aware of their responsibility to respect people's diversity and human rights.

We reviewed feedback from patients through the practices' own surveys and online reviews. These indicated a very high level of satisfaction with the service and treatment received by patients at Park Dental Care.

Patients said staff were compassionate and understanding when they were in pain, distress or discomfort.

#### **Privacy and dignity**

Staff were aware of the importance of privacy and confidentiality.

The practice had installed closed-circuit television to improve security for patients and staff. Relevant policies and protocols were in place.

Staff password protected patients' electronic care records and backed these up to secure storage. They stored paper records securely.

#### Involving people in decisions about care and treatment

Staff helped patients to be involved in decisions about their care and gave patients clear information to help them make informed choices about their treatment.

The practice's website provided patients with information about the range of treatments available at the practice.

The dentist explained the methods they used to help patients understand their treatment options. These included, photographs, study models, videos, X-ray images and an intra-oral camera.

## Are services responsive to people's needs?

### Our findings

We found this practice was providing responsive care in accordance with the relevant regulations.

#### Responding to and meeting people's needs

The practice organised and delivered services to meet patients' needs and preferences.

Staff were clear about the importance of providing emotional support to patients when delivering care.

The practice had made reasonable adjustments, including a portable ramp and accessible toilet for patients with access requirements. Staff had carried out a disability access audit and had formulated an action plan to continually improve access for patients.

#### Timely access to services

The practice displayed its opening hours and provided information on their website.

Patients could access care and treatment from the practice within an acceptable timescale for their needs. The practice had an appointment system to respond to patients' needs. The frequency of appointments was agreed between the dentist and the patient, giving due regard to NICE guidelines. Patients had enough time during their appointment and did not feel rushed.

The practice's website and answerphone provided telephone numbers for patients needing emergency dental treatment during the working day and when the practice was not open.

Patients who needed an urgent appointment were offered one in a timely manner. When the practice was unable to offer an urgent appointment, they worked with partner organisations to support urgent access for patients. Patients with the most urgent needs had their care and treatment prioritised.

#### Listening and learning from concerns and complaints

The practice responded to concerns and complaints appropriately. Staff discussed outcomes to share learning and improve the service.

## Are services well-led?

### Our findings

We found this practice was providing well-led care in accordance with the relevant regulations.

#### Leadership capacity and capability

The practice staff demonstrated a transparent and open culture in relation to people's safety.

There was strong leadership with emphasis on people's safety and continually striving to improve.

Systems and processes were embedded, and staff worked together in such a way that where the inspection identified issues, action was taken to address these.

The information and evidence presented during the inspection process was clear and well documented.

We saw the practice had effective processes to support and develop staff with additional roles and responsibilities.

#### Culture

Staff could show how they ensured high-quality sustainable services and demonstrated improvements over time.

Staff stated they felt respected, supported and valued. They were proud to work in the practice.

Staff discussed their training needs during annual appraisals and during clinical supervision. They also discussed learning needs, general wellbeing and aims for future professional development.

The practice had arrangements to ensure staff training was up-to-date and reviewed at the required intervals.

#### **Governance and management**

Staff had clear responsibilities, roles and systems of accountability to support good governance and management.

The practice had a governance system which included policies, protocols and procedures that were accessible to all members of staff and were reviewed on a regular basis.

We saw there were clear and effective processes for managing risks, issues and performance.

#### Appropriate and accurate information

Staff acted on appropriate and accurate information.

The practice had information governance arrangements and staff were aware of the importance of protecting patients' personal information.

#### Engagement with patients, the public, staff and external partners

Staff gathered feedback from patients, the public and external partners and demonstrated a commitment to acting on feedback.

Feedback from staff was obtained through meetings, surveys, and informal discussions. Staff were encouraged to offer suggestions for improvements to the service and said these were listened to and acted on where appropriate.

#### Continuous improvement and innovation

The practice had systems and processes for learning, quality assurance, continuous improvement and innovation. These included audits of, disability access, radiographs and infection prevention and control. We identified scope for improvement in ensuring that audits of record keeping and antimicrobial prescribing were carried out. Staff kept records of the results of these audits and the resulting action plans and improvements.