

# Mr. Samy Solaiman Newcastle Dental Care Inspection report

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#### **Overall summary**

We carried out this announced focused inspection on 26 April 2022 under section 60 of the Health and Social Care Act 2008 as part of our regulatory functions. We planned the inspection to check whether the registered practice was meeting the legal requirements in the Health and Social Care Act 2008 and associated regulations. The inspection was led by a Care Quality Commission, (CQC), inspector who was supported by a specialist dental adviser.

To get to the heart of patients' experiences of care and treatment, we usually ask five key questions, however due to the ongoing COVID-19 pandemic and to reduce time spent on site, only the following three questions were asked:

- Is it safe?
- Is it effective?
- Is it well-led?

These questions form the framework for the areas we look at during the inspection.

#### Our findings were:

- The practice had systems to help them manage risk to patients and staff.
- The dental clinic was visibly clean and well-maintained.
- The practice had staff recruitment procedures which reflected current legislation.
- The clinical staff provided patients' care and treatment in line with current guidelines.
- Staff provided preventive care and supported patients to ensure better oral health.
- The appointment system took account of patients' needs.
- There was effective leadership and a culture of continuous improvement.
- Staff felt involved and supported and worked as a team.
- Staff and patients were asked for feedback about the services provided.
- The dental clinic had information governance arrangements.
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## Summary of findings

- Staff knew how to deal with medical emergencies. Medicines and life-saving equipment were available as recommended with the exception of a couple of items that the provider obtained on the day.
- Improvements could be made to ensure all equipment was serviced and maintained in accordance with manufacturer's guidelines.
- The practice had infection control procedures which reflected published guidance; however, improvements could be made to ensure hollow dental instruments were sterilised as recommended.

#### Background

Newcastle Dental Care is in Newcastle Upon Tyne and provides NHS and private dental care and treatment for adults and children.

The practice is located on the first floor and does not have step-free access. Patients requiring step-free access are referred to their sister practice locally. The practice has made some adjustments to support patients with additional needs, for example digital forms are available in large font and a hearing induction loop is available. Car parking spaces, including dedicated parking for disabled people, are available near the practice.

The dental team includes one dentist, two dental nurses, four trainee dental nurses, two dental therapists, a visiting specialist and the practice manager. The practice has two treatment rooms.

During the inspection we spoke with the dentist, two dental nurses and the practice manager. We looked at practice policies and procedures and other records about how the service is managed.

The practice is open:

Mondays to Thursdays from 9.00am to 1.00pm and from 2.00 pm to 5.30pm

Fridays from 9.00am to 3.00 pm

There were areas where the provider could make improvements. They should:

- Improve the practice's infection control procedures and protocols taking into account the guidelines issued by the Department of Health in the Health Technical Memorandum 01-05: Decontamination in primary care dental practices and having regard to The Health and Social Care Act 2008: 'Code of Practice about the prevention and control of infections and related guidance'. In particular in relation to the processing of hollow dental instruments.
- Improve the practice's systems for checking and monitoring equipment taking into account relevant guidance and ensure that all equipment is well maintained. In particular in relation to the suction motor.
- Implement a system to ensure patient referrals to other dental or health care professionals are centrally monitored to ensure they are received in a timely manner and not lost.

# Summary of findings

### The five questions we ask about services and what we found

We asked the following question(s).

Are services safe?	No action	$\checkmark$
Are services effective?	No action	$\checkmark$
Are services well-led?	No action	$\checkmark$

### Are services safe?

### Our findings

We found this practice was providing safe care in accordance with the relevant regulations.

#### Safety systems and processes, including staff recruitment, equipment and premises and radiography (X-rays)

The practice had safeguarding processes and staff knew their responsibilities for safeguarding vulnerable adults and children.

The practice had infection control procedures which reflected published guidance. The practice had introduced additional procedures in relation to COVID-19 in accordance with published guidance. We noted the provider had two instrument sterilisers; however hollow dental instruments were not being sterilised using a vacuum process as recommended. We discussed this with the provider who amended the protocol immediately to ensure this type of instrument was sterilised as recommended.

The practice had procedures to reduce the risk of Legionella or other bacteria developing in water systems, in line with a risk assessment.

The practice had policies and procedures in place to ensure clinical waste was segregated and stored appropriately in line with guidance. Improvements could be made to ensure the clinical waste storage arrangements were secure as we noted the storage bin was locked but not secure.

We saw the practice was visibly clean and there was an effective cleaning schedule to ensure the practice was kept clean.

The practice had a recruitment policy and procedure to help them employ suitable staff and had checks in place for agency and locum staff. These reflected the relevant legislation.

Clinical staff were qualified, registered with the General Dental Council and had professional indemnity cover.

The practice ensured equipment was safe to use and maintained and serviced according to manufacturers' instructions, with the exception of the suction motor. On the day of the inspection there were no records available to demonstrate this had been serviced and maintained as recommended. The practice ensured the facilities were maintained in accordance with regulations.

A fire risk assessment was carried out in line with legal requirements and the management of fire safety was effective.

The practice had arrangements to ensure the safety of the X-ray equipment and we saw the required radiation protection information was available.

#### **Risks to patients**

The practice had implemented systems to assess, monitor and manage risks to patient and staff safety. These included sharps safety, sepsis awareness and lone working.

Most emergency equipment and medicines were available and checked in accordance with national guidance. We noted a couple of items were not available as recommended and the provider obtained these on the day.

Staff knew how to respond to a medical emergency and had completed training in emergency resuscitation and basic life support every year.

The practice had risk assessments to minimise the risk that could be caused from substances that are hazardous to health.

#### Information to deliver safe care and treatment

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## Are services safe?

Dental care records we saw were complete, legible and complied with General Data Protection Regulation requirements. We discussed the importance of ensuring computers are locked when a member of staff steps away; for example, to open the door to other patients.

The practice had systems for referring patients with suspected oral cancer under the national two-week wait arrangements. Improvements could be made with the introduction of monitoring protocols to ensure referrals were reviewed to ensure patients were seen in a timely manner.

#### Safe and appropriate use of medicines

The practice had systems for appropriate and safe handling of medicines. We discussed with the provider that they should consider carrying out antimicrobial prescribing audits in accordance with current guidance.

#### Track record on safety, and lessons learned and improvements

The practice had implemented systems for reviewing and investigating incidents and accidents. The practice had a system for receiving and acting on safety alerts.

### Are services effective?

(for example, treatment is effective)

### Our findings

We found this practice was providing effective care in accordance with the relevant regulations.

#### Effective needs assessment, care and treatment

The practice had systems to keep dental professionals up to date with current evidence-based practice.

We saw the provision of dental implants was in accordance with national guidance.

#### Helping patients to live healthier lives

The practice provided preventive care and supported patients to ensure better oral health.

#### **Consent to care and treatment**

Staff obtained patients' consent to care and treatment in line with legislation and guidance.

Staff understood their responsibilities under the Mental Capacity Act 2005.

Staff described how they involved patients' relatives or carers when appropriate and made sure they had enough time to explain treatment options clearly.

#### Monitoring care and treatment

The practice kept detailed dental care records in line with recognised guidance.

Staff conveyed an understanding of supporting more vulnerable members of society such as patients with dementia, and adults and children with a learning difficulty.

We saw evidence the dentist justified, graded and reported on the radiographs they took. The practice carried out radiography audits following current guidance and legislation.

#### Effective staffing

Staff had the skills, knowledge and experience to carry out their roles.

Newly appointed staff had a structured induction and clinical staff completed continuing professional development required for their registration with the General Dental Council.

#### **Co-ordinating care and treatment**

Staff worked together and with other health and social care professionals to deliver effective care and treatment.

The dentist confirmed they referred patients to a range of specialists in primary and secondary care for treatment the practice did not provide.

## Are services well-led?

### Our findings

We found this practice was providing well-led care in accordance with the relevant regulations.

#### Leadership capacity and capability

The practice demonstrated a transparent and open culture in relation to people's safety.

There was strong leadership and emphasis on continually striving to improve.

Systems and processes were embedded, and staff worked together in such a way that the inspection did not highlight any significant issues or omissions.

The information and evidence presented during the inspection process was clear and well documented.

We saw the practice had effective processes to support and develop staff with additional roles and responsibilities.

#### Culture

The practice could show how they ensured high-quality sustainable services and demonstrated improvements over time.

Staff stated they felt respected, supported and valued. They were proud to work in the practice.

Staff discussed their training needs during annual appraisals. They also discussed learning needs, general wellbeing and aims for future professional development.

The practice had arrangements to ensure staff training was up-to-date and reviewed at the required intervals.

#### **Governance and management**

Staff had clear responsibilities roles and systems of accountability to support good governance and management.

The practice had a system of clinical governance in place which included policies, protocols and procedures that were accessible to all members of staff and were reviewed on a regular basis.

We saw there were clear and effective processes for managing risks, issues and performance.

#### Appropriate and accurate information

Staff acted on appropriate and accurate information.

The practice had information governance arrangements and staff were aware of the importance of these in protecting patients' personal information.

#### Engagement with patients, the public, staff and external partners

Staff gathered feedback from patients, the public and external partners and a demonstrated commitment to acting on feedback.

The practice gathered feedback from staff through meetings, surveys, and informal discussions. Staff were encouraged to offer suggestions for improvements to the service and said these were listened to and acted on.

The practice was also a member of a good practice certification scheme.

#### Continuous improvement and innovation

The practice had systems and processes for learning, continuous improvement and innovation.

### Are services well-led?

The practice had quality assurance processes to encourage learning and continuous improvement. These included audits of dental care records, disability access, radiographs and infection prevention and control.

Staff kept records of the results of these audits and the resulting action plans and improvements.