

### Dr. Malcolm Stander

# Standerdental

### **Inspection report**

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### Overall summary

We carried out this announced focused inspection of Standerdental on 28 July 2022 under section 60 of the Health and Social Care Act 2008 as part of our regulatory functions. We planned the inspection to check whether the registered practice was meeting the legal requirements in the Health and Social Care Act 2008 and associated regulations. The inspection was led by a Care Quality Commission (CQC) inspector who was supported by a specialist dental adviser.

To get to the heart of patients' experiences of care and treatment, we usually ask five key questions, however due to the ongoing COVID-19 pandemic and to reduce time spent on site, only the following three questions were asked:

- Is it safe?
- Is it effective?
- Is it well-led?

These questions form the framework for the areas we look at during the inspection.

### Our findings were:

- The dental clinic was visibly clean.
- The practice had infection control procedures which reflected published guidance.
- Staff knew how to deal with medical emergencies. Appropriate medicines and life-saving equipment were available.
- The practice had systems to help them manage most risks to patients and staff.
- Safeguarding processes were in place and staff knew their responsibilities for safeguarding vulnerable adults and children.
- The practice had staff recruitment procedures which reflected current legislation.
- The clinical staff provided patients' care and treatment in line with current guidelines.
- Staff provided preventive care and supported patients to ensure better oral health.
- The dental clinic had information governance arrangements.

# Summary of findings

### **Background**

This report is about Standerdental.

Standerdental is a dental practice in Hornchurch- a town in the north east London Borough of Havering and provides private dental care and treatment for adults.

The practice is located on the first floor of the building via a flight of stairs which leads from the ground floor. This means there is no level access to the practice for people who use wheelchairs and those with pushchairs. There is limited car parking spacing available near the practice

The dental team includes the principal dentist and a receptionist. They are supported by locum dental nurses. The practice has one treatment room.

During the inspection we spoke with the principal dentist and the receptionist. We looked at practice policies and procedures and other records about how the service is managed.

The practice is open Wednesday to Friday 10am to 5pm.

Outside of these hours, patients are directed to NHS 111 for emergency care and treatment.

There were areas where the provider could make improvements. They should:

- Take action to ensure the clinicians take into account the guidance provided by the College of General Dentistry when completing dental care records.
- Take action to ensure audits have documented learning points and the resulting improvements can be demonstrated.

# Summary of findings

### The five questions we ask about services and what we found

We asked the following question(s).

Are services safe?	No action	✓
Are services effective?	No action	✓
Are services well-led?	No action	<b>✓</b>

## Are services safe?

### **Our findings**

We found this practice was providing safe care in accordance with the relevant regulations.

### Safety systems and processes, including staff recruitment, equipment and premises and radiography (X-rays)

The practice had safeguarding processes and staff knew their responsibilities for safeguarding vulnerable adults and children.

The practice had infection control procedures which reflected published guidance. The practice had introduced additional procedures in relation to COVID-19 in accordance with published guidance.

The practice had procedures to reduce the risk of Legionella or other bacteria developing in water systems, in line with a risk assessment.

The practice had policies and procedures in place to ensure clinical waste was segregated and stored appropriately in line with guidance.

We saw the practice was visibly clean and there was an effective cleaning schedule to ensure the practice was kept clean.

The practice had a recruitment policy and procedure to help them employ suitable staff and had checks in place for agency and locum staff. These reflected the relevant legislation.

Clinical staff were qualified, registered with the General Dental Council and had professional indemnity cover.

The practice ensured equipment was safe to use and maintained and serviced according to manufacturers' instructions. The practice ensured the facilities were maintained in accordance with regulations.

A fire risk assessment was carried out in line with the legal requirements and the management of fire safety was effective.

The practice had arrangements to ensure the safety of the X-ray equipment and we saw the required radiation protection information was available.

### **Risks to patients**

The practice had implemented systems to assess, monitor and manage risks to patient and staff safety. This included sharps safety and sepsis awareness.

Emergency equipment and medicines were available and checked in accordance with national guidance

Staff knew how to respond to a medical emergency and had completed training in emergency resuscitation and basic life support every year.

The practice had risk assessments to minimise the risk that could be caused from substances that are hazardous to health.

#### Information to deliver safe care and treatment

Dental care records we saw were complete, legible, were kept securely and complied with General Data Protection Regulation requirements.

The practice had systems for referring patients with suspected oral cancer under the national two-week wait arrangements.

#### Safe and appropriate use of medicines

# Are services safe?

The practice had systems for appropriate and safe handling of medicines. Antimicrobial prescribing audits were carried out.

### Track record on safety, and lessons learned and improvements

The practice had implemented systems for reviewing and investigating incidents and accidents. The practice had a system for receiving and acting on safety alerts.

# Are services effective?

(for example, treatment is effective)

### **Our findings**

We found this practice was providing effective care in accordance with the relevant regulations.

### Effective needs assessment, care and treatment

The practice had systems to keep dental professionals up to date with current evidence-based practice.

### Helping patients to live healthier lives

The practice provided preventive care and supported patients to ensure better oral health.

Staff supported patients to live healthier lives, for example, local stop smoking services. They directed patients to these schemes when appropriate.

#### **Consent to care and treatment**

Staff told us they obtained patients' consent to care and treatment in line with legislation and guidance. However, records we looked at did not reflect the breadth of discussions they told us they had with patients.

Staff understood their responsibilities under the Mental Capacity Act 2005.

Staff described how they involved patients' relatives or carers when appropriate and made sure they had enough time to explain treatment options clearly.

### **Monitoring care and treatment**

Dental care records we looked at showed there was a lack of consistency in staff documenting patient's consent to care and treatment. For example, documented treatment options offered to patients, including their advantages and disadvantages, were not recorded in the dental care records we checked. Improvements could be made to ensure the dentist recorded that they had risk assessed patients' periodontal, oral cancer, tooth wear and caries status. The provider undertook record keeping audits. Improvements could be made to ensure audit findings were used to improve the overall quality of the service.

We saw evidence the dentist justified, graded and reported on the radiographs they took. The practice carried out radiography audits six-monthly following current guidance and legislation.

### **Effective staffing**

Staff had the skills, knowledge and experience to carry out their roles.

We saw that a standard operating procedure was in place between the provider and the employment agency that provided them with locum dental nurses. We found that appropriate recruitment checks as per current legislation had been undertaken for the dental nurse who worked on the day of the inspection.

Clinical staff completed continuing professional development required for their registration with the General Dental Council

#### Co-ordinating care and treatment

We looked at clinical care records and saw that staff worked together and with other health and social care professionals to deliver effective care and treatment.

The dentist confirmed they referred patients to a range of specialists in primary and secondary care for treatment the practice did not provide.

## Are services well-led?

### **Our findings**

We found this practice was providing well-led care in accordance with the relevant regulations.

### Leadership capacity and capability

The provider demonstrated a transparent and open culture in relation to people's safety.

This was a small organisation led by the clinician and who was supported by another long-standing team member.

Systems and processes were embedded, and staff worked together in such a way that the inspection did not highlight any issues or omissions.

The information and evidence presented during the inspection process was clear and well documented.

#### Culture

The practice could show how they ensured sustainable services and demonstrated improvements over time.

Staff stated they felt respected, supported and valued. They were proud to work in the practice.

The practice had arrangements to ensure staff training was up-to-date and reviewed at the required intervals.

### **Governance and management**

Staff had clear responsibilities roles and systems of accountability to support good governance and management.

The practice had a system of clinical governance in place which included policies, protocols and procedures that were accessible and were reviewed on a regular basis.

We saw there were clear and effective processes for managing risks and issues.

#### Appropriate and accurate information

Staff acted on appropriate and accurate information.

The practice had information governance arrangements and staff were aware of the importance of these in protecting patients' personal information.

#### Engagement with patients, the public, staff and external partners

Staff gathered feedback from patients, the public and external partners and a demonstrated commitment to acting on feedback. On the day of the inspection, we reviewed 10 summarised results for the 2022 survey which found 100% of patients said appointments were readily available; 100% felt properly informed about their proposed treatment and 100% found the dentist was good at explaining their care and treatment to them.

### **Continuous improvement and innovation**

The practice had quality assurance processes in place. Examples of audits included: dental care records, disability access, radiographs and infection prevention and control. Staff kept records of the results of these audits.

Improvements could be made to ensure audit findings were used to improve the overall quality of the service.